

**Policy No. UKB-001**  
**Adopted Date: 10-20-2010**  
**Amended Dates: N/A**

## **Partial Grant Funding Split Policy**

### **Purpose and Need**

The purpose of this document is to update the default process by which, in the event of a grant award less than 100% of requested amount is received, Upper Kings Basin Integrated Regional Water Management Authority (formerly the Upper Kings Basin Water Forum) grant project proponents split awarded monies. As is the case with many other policies adopted by the Upper Kings Basin Water Forum (Forum), the Partial Grant Funding Split Policy must be updated and then re-adopted by the new governance body.

### **Background**

Under Forum governance, the Steering Committee discussed trying to agree upon a method of weighted criteria for determining in advance how a grant award would be split if a final grant award is less than 100% of the requested total amount. If such a system were in place, it would allow the Forum to give different weighted priority to individual projects within each grant package.

Potential criteria that were considered included: project scores based on the grant criteria, yield potential and quality, regional need, disadvantaged communities, local cost share contributions, involving multiple project partners, and spreading the money amongst Forum partners over time.

After reviewing examples developed by representatives from WRIME, Inc. and Community Water Center, Steering Committee members felt it would be too complicated and difficult to pre-determine weighted criteria for splitting funding among project proponents. They felt the fair thing to do was to have a simple default determination with a negotiation option as described below.

This default determination has been re-adopted by the Upper Kings Basin Integrated Regional Water Management Authority (Authority).

### **Default Determination**

Each project in the application package has its award reduced by the percentage that the total grant award was decreased from the requested amount.

Example:

Project A request: 6 million  
Project B request: 4 million  
Total request: 10 million  
Total award: 8 million (20% reduction from request)  
Project A award: 4.8 million (20% reduction from request)  
Project B award: 3.2 million (20% reduction from request)

### **Negotiation Option**

Authority project proponents may attempt to negotiate a different split of funding based on any rationale or reasoning they think is appropriate. Any agreement must be mutually acceptable to each project proponent whose award is affected by such an agreement and approved by the Authority Board of Directors. If no negotiated agreement is reached by the time of contracting, the funding will be allocated by the default determination.

### **Project Drop-Out Contingency**

If for any reason a project proponent who was part of the final project package withdraws from funding, the Authority staff will discuss with the granting agency whether any funding will be withdrawn. Remaining funding will be split among remaining partners according to the default determination or negotiation option.

### **Grievances and Conflict Resolution**

Disputes or grievances may be made in writing to KRCD on behalf of the Authority. Any comments received regarding partial funding split will first be reviewed by the Authority's Project Review Panel (PRP). The Project Work Group or the Authority Advisory Committee and the Authority Board of Directors may additionally be called on by the PRP or Project Work Group for direction, if deemed necessary.

M:\UKBIRWM AUTHORITY\Policy Documents\Adopted\UKB-001 Partial Funding Split Policy.doc